

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the Application of: Kenichi HARUKI et al.

Group Art Unit: 2623

Serial No.: **09/639,098**

Examiner: Ryan J. Hesseltine

Filed: August 16, 2000

Confirmation No.: 3148

For: EXTENSION DEVICE PROVIDING SECURITY FUNCTION

Attorney Docket Number: 000993 Customer Number: 38834

INFORMATION DISCLOSURE STATEMENT PURSUANT TO 37 C.F.R. §1.97(b)(4)

Commissioner for Patents P.O. Box 1450 Alexandria, Virginia 22313-1450

December 30, 2004

Sir:

The attention of the Patent and Trademark Office is hereby directed to the documents listed on the attached Form PTO/SB/08. A copy of each document is attached.

No fee or certification is required in connection with this Information Disclosure Statement, because it is being submitted prior to the issuance of a first official action on the merits following the Request for Continued Examination (RCE) in the above-identified patent application.

The above information is presented so that the Patent and Trademark Office can, in the first instance, determine any materiality thereof to the claimed invention. Applicants respectfully request that the information be expressly considered during the prosecution of this application and that the documents cited in the attached Form PTO/SB/08 be made of record therein and appear on the first page of any patent to issue therefrom.

Information Disclosure Statement under 37 C.F.R. §1.97(b)(4)

Application No.: 09/639,098

December 30, 2004

The Commissioner is authorized to charge our Deposit Account No. 50-2866 for any fee which is deemed by the Patent and Trademark Office to be required to effect consideration of this statement.

Respectfully submitted,

WESTERMAN, HATTORI, DANIELS & ADRIAN, LLP

John P. Kong

Attorney for Applicants Registration No. 40,054

Telephone: (202) 822-1100 Facsimile: (202) 822-1111

JPK/kal

Enclosures:

Forms PTO SB/08A and SB/08B

References

PTO/SB/08A (08-03)

Approved for use through 07/31/2006. OMB 0651-0031 U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Substitute for form 1449/PTO

Sheet

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

Complete if Known		
Application Number	09/639,098	
Filing Date	August 16, 2000	
First Named Inventor	Kenichi Haruki	
Art Unit	2623	
Examiner Name	Ryan J. Hesseltine	
Attorney Docket Number	000003	

U. S. PATENT DOCUMENTS					
Examiner Initials*	Cite No.1	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant
		Number-Kind Code ^{2 (ff known)}			Figures Appear
		US-			
-		US-			
		US-			
		US-			-
		US-			
		US-			

 FOREIGN PATENT DOCUMENTS					
Cite No.1	Foreign Patent Document	Publication Date	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages	
	Country Code ³ "Number ⁴ "Kind Code ⁵ (<i>if known</i>)	MM-DD-YYYY		Or Relevant Figures Appear	T ⁶
1	JP 11-110533	04/23/1999	Mitsumi		
 2	JP 3002964	11/10/1994			
 3	10-149446	06/02/1998	Mitsubishi		
4	10-211191	08/11/1998	Toshiba		
5	JP 63-231762	09/27/1988	Matsushita Elec		
 6	JP 08-078114	03/22/1996	Murata MFG		

	 - Charles		
Examiner		Date	
Signature		Considered	
Olginature			

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. ¹Applicant's unique citation designation number (optional). ² See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. ⁸ Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND

TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

PTO/SB/08B (08-03)

Approved for use through 07/31/2006. OMB 0651-0031
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

e Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number. Sub-Complete if Known Substituté for form 1449/PTO **Application Number** 09/639,098 INFORMATION DISCLOSURE **Filing Date** August 16, 2000 STATEMENT BY APPLICANT First Named Inventor Kenichi Haruki Art Unit 2623 (Use as many sheets as necessary) **Examiner Name** Ryan J. Hesseltine Attorney Docket Number Sheet 2 2 000993 of

	NON PATENT LITERATURE DOCUMENTS				
Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.			
	7	Office Action mailed on November 24, 2004 in Japanese Patent Application No. 2000-222443			
		·			
	1				

Examiner		Date	
Signature	<u></u>	Considered	<u> </u>

^{*}EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹ Applicant's unique citation designation number (optional). 2 Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO). to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.